JUL 1 5 2005

Attorney's Docket No.: 05770

#### UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David M. Buczek et al.

Art Unit: 2827

Patent No.: 6,784,362

Examiner: Kamand Cuneo

OIPE/IAP

Issue Date: August 31, 2004

AUG 1 2 2005

Serial No.: 09/658,838

: September 8, 2000

Filed Title

: POLYMER ENCAPSULATED CERAMIC SUPERCONDUCTORS

Attn.: Certificate of Corrections Branch

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

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# TRANSMITTAL OF REQUEST FOR CERTIFICATE OFFICER RECTION

Applicant hereby requests that a certificate of correction be issued for the above patent in accordance with the attached request.

One or more of the errors sought to be corrected were made by applicant, and a check for \$100 is enclosed to cover the required fee of 37 CFR §1.20(a).

Please apply any charges or credits to Deposit Account No. 06-1050.

AUG 1 6 2005

Respectfully submitted,

CFFICE OF PETITIONS

Date: July 13, 2005

Sean P. Daley Reg. No. 40,978

Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110

Telephone: (617) 542-5070 Facsimile: (617) 542-8906

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07/18/2005 DEMMANU1 00000047 6784362

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or Printed Name of Person Signing Certificate

Staple Here Only

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO.

: 6,784,362

DATED

: AUGUST 31, 2004

INVENTOR(S)

: DAVID M. BUCZEK AND MARCO NASSI

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On Cover page, section [73], add "Pirelli Cavi E Sistemi S.p.A., Milano, Italy" after "American Superconductor Corporation, Westborough, MA (US)"

MAILING ADDRESS OF SENDER:

PATENT No. 6,784,362

No. of add'l copies

number\_

Sean P. Daley Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110



Attorney's Docket No.: 05770-138001 / AMSC-530

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David M. Buczek et al.

Art Unit : 2827

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Patent No.: 6,784,362

Examiner: Kamand Cuneo

AUG 1 6 2005

Issue Date: August 31, 2004

**CFFICE OF PETITIONS** 

Serial No.: 09/658,838 Filed

: September 8, 2000

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JUL 2 2 2005

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Title

Alexandria, VA 22313-1450

**OFFICE OF PETITIONS** 

#### PETITION UNDER 37 C.F.R. § 1.183

Applicants request that 37 C.F.R. § 3.81 (a) be waived to permit the correct names of the assignees to be provided after issuance of the above-referenced patent. The above-referenced patent should list American Superconductor Corporation, Westborough, MA, and Pirelli Cavi E Sistemi S.p.A., Milano, Italy as the assignees.

Item 3(B) of the Issue Fee Transmittal (PTOL-85b) inadvertently listed the assignee as "American Superconductor Corporation" instead of "American Superconductor Corporation and Pirelli Cavi E Sistemi S.p.A.".

Enclosed is a copy of the notice of recordation of assignment document, which was recorded January 4, 2002 at Reel/Frame 012424/0309. Also enclosed is a check for \$130.00 as set forth in 37 CFR § 1.17(h).

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

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Signature

Typed or Printed Name of Person Signing Certificate

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02 FC:1464

130.00 OP

Applicant: David M. Buczek et al.

Patent No.: 6,784,362

Issued : August 31, 2004 Serial No. : 09/658,838

Filed: September 8, 2000

Page : 2 of 2

Please apply other any charges or credits to Deposit Account No. 06-1050, referencing Attorney Docket 05770-138001.

Respectfully submitted,

Attorney's Docket No.: 05770-138001 / AMSC-530

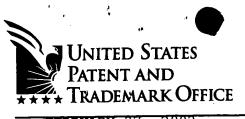
Date: July 13, 2005

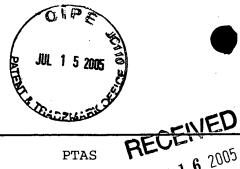
Sean P. Daley Reg. No. 40,978

Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110

Telephone: (617) 542-5070 Facsimile: (617) 542-8906

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**PTAS** 

05770-138001

Chief Information Officer Washington, DC 20231 www.uspto.gov

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FEBRUARY 27, 2002

FISH & RICHARDSON P.C. FRANK R OCCHIUTI 225 FRANKLIN ST. BOSTON, MA 02110-2804

> UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

JUL 2 2 2005

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE A COMPUTED VISION OF AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/04/2002

REEL/FRAME: 012424/0309

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

NASSI, MARCO

DOC DATE: 06/26/2001

ASSIGNOR:

BUCZEK, DAVID M.

DOC DATE: 07/09/2001

ASSIGNEE:

AMERICAN SUPERCONDUCTOR CORPORATION TWO TECHNOLOGY DRIVE WESTBOROUGH, MASSACHUSETTS

01581-1727

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MAR 0 6 2002

FISH & RICHARDSON, P.C. BOSTON OFFICE

ASSIGNEE:

PIRELLI CAVI SISTEMI S.P.A. VIALE SIRCA, 222 20126 MILANO, ITALY

SERIAL NUMBER: 09658838

PATENT NUMBER:

FILING DATE: 09/08/2000

ISSUE DATE:

NO DOCLETING REQUIRED Reviewed by Practice Systems tanich PEC On

012424/0309 PAGE 2

DOROTHY RILEY, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

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01-10-2002

Substitute Form PTO-1595 Attorney Docket No.: 05770-138001 Client's Ref. No.: ASC-530

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Τ

①... 101939246 Commissioner for Patents: Please record the attached original document(s) or copy(ies). 1. Name of conveying party(ies): 2. Name and address of receiving party(ies): Marco Nassi and David M. Buczek American Superconductor Corporation Two Technology Drive Additional name(s) attached? ☐ Yes 図 No Westborough, MA 01581-1727 3. Nature of conveyance: PIRELLI CAVI E SISTEMI S.D.A.CEIVED
Viale Sirca, 222 ☑ Assignment ☐ Merger ☐ Security Agreement JUL 2 2 2005 20126 Milano ☐ Change of Name **ITALY** Other: Additional names/addresses attached? Execution Date: 6/26/01; 7/9/01 4. Application number(s) or patent number(s): AUG 1 6 2005 If this document is being filed with a new application, the execution date of the application is: 6. Total number of applications/patents involved: 1

7. Total fee (37 CFR §3.41): \$40 A. Patent Application No(s).: 09/658.838 Additional numbers attached? ☐ Yes 図 No 5. Name/address of party to whom correspondence concerning document should be mailed: FRANK R. OCCHIUTI Fish & Richardson P.C. 225 Franklin Street ☐ Authorized to charge Deposit Account. Boston, Massachusetts 02110-2804 8. Deposit Account No.: 06-1050 Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050. DO NOT USE THIS SPACE 9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Marc M. Wefers\* for 10/25/01 ma wer Frank R. Occhiuti

\*See attached document certifying that Marc M. Wefers has limited recognition to practice before the U.S. Patent and Trademark Office under 37 C.F.R. § 10.9(b).

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Reg. No. 35,306 Name of Person Signing

01/10/2002 DBYRNE

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(Ctober 25 2001

OOSIT

Signature

Laura Murphy

Total number of pages including coversheet, attachments and document: 3

Typed Name of Person Signing Certificate

AM3C-530 · 🖪 415 ET 33°

#### <u>ASSIGNMENT</u>

For valuable consideration, we, <u>DAVID M. BUCZEK</u> of <u>81 Tisdale Road</u>, <u>Dover</u>, <u>MA</u> 02030, and MARCO NASSI of Via Cibrario, 36bis, 10144 Torino, ITALY, hereby assign to as tenants in common: AMERICAN SUPERCONDUCTOR CORPORATION, a Delaware corporation having a place of business at:

> Two Technology Drive Westborough, MA 01581-1727; and

PIRELLI CAVI E SISTEMI S.p.A., having a place of business at:

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Viale Sirca, 222

its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and immediately and i application for United States Patent signed by us, entitled POLYMER ENCAPSULATED CERAMIC SUPERCONDUCTORS, filed September 8, 2000, and assigned U.S. Serial Number 09/658,838; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto so	et my hand and seal at $\underline{\mathbf{W}}$	estborough.	Massachusetts,
this 26 day of June	, 2001.		
Durd m.	Bush		L.S.
DAVID M. BUCZ	ZEK		
			· :
State of MASSACHUSETTS:			
: ss.	•		
County of WORCESTER :			•
	,		
Before me this day	of June	, 2001, p	ersonally appeared
DAVID M. BUCZEK known to me to b		e is subscri	bed to the foregoing
Assignment and acknowledged that he e	xecuted the same as his	free act and	deed for the
purposes therein contained.			
	Cacy Ci	Conn	oll
	Notary Public		
	My Commission Expi	ires:	TRACY & CONNOLLY NOTARY PUBLIC
[Notary's Seal Here]			My Commission Expires Oct 8, 2004
IN WITNESS WHEREOF, I hereto s	et my hand and seal at _	MILA	N, ITALY,
this 3 day of July	, 2001.		
Mary /	Mass.	<u></u>	L.S
MARCO NASSI			
Witnesses:			•
1 /hea			
// //chtle			

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### UNITED STATE PATENT AND TRADEMARK OFFICE VED

JUL 2 2 2005

### LIMITED RECOGNITION UNDER 37 CFR STUDIOS

Marc M. Wefers is hereby given limited recognition under 37 CFR §10.9(b) as an employee of Fish & Richardson P.C., to prepare and prosecute patent applications wherein the patent applicant is the client of Fish & Richardson P.C. and the attorney or agent of record in the applications is a registered practitioner who is a member of Fish & Richardson P.C. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Marc M. Wefers ceases to lawfully reside in the United States, (ii) Marc M. Wefers' employment with Fish & Richardson P.C. ceases or is terminated, or (iii) Marc M. Wefers ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: December 22, 2001

Harry I. Moatz

Director of Enrollment and Discipline